



PARTNERSHIP FOR TRANSPARENCY FUND
supporting citizens against corruption

Supporting Citizens to fight Corruption

Annual Report 2015

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Message from the PTF e.V. Chairperson

I am pleased to present the second annual report of PTF e.V. which provides an overview of our 2015 activities. The report meets the Social Reporting Standard hereby reflecting the character of our transparent and innovative organization.

PTF e.V. was established in January 2014 as the European affiliate of the Partnership for Transparency Fund (PTF), a Washington DC based headquarter organization: www.ptfund.org Our mission is to „advance innovative citizen-led approaches to improve governance, increase transparency, promote the rule of law and reduce corruption in developing and emerging countries“. Being located in Europe, PTF e.V. mainly focuses on the Western Balkans and the so-called Eastern Partnership countries. However, as a CSO formally registered with the European Commission (EC) under its PADOR system, PTF e.V. also plans to respond to European Union (EU) calls for proposals in Asia and Africa falling under the overall PTF agenda. It would do so in association with other like minded international and national CSOs and in close cooperation with PTF Washington and PTF Asia. A first such proposal for a program in Myanmar was submitted to the EU under the leadership of a Swiss CSO.

Since its start, PTF e.V. has steadily increased its membership – including former senior World Bank advisors, CSO representatives, Diplomats and young professionals who focus their career on governance and anti-corruption projects. During 2015, PTF e.V. had two membership meetings, one in March and one in December, both held at the office of the Transparency International Secretariat (TI-S) in Berlin.



The March meeting was recorded on video – see page 13 of the Annual Report. During the December meeting we amended our bylaws and elected a new PTF e.V. Board, consisting of Mariette Vanbeek, Hady Fink, Lars Jeurling (Deputy Chairman), Matthias Einmahl (Treasurer) and myself as Chairman. The meetings also allowed for exchanges with TI-S senior management to identify areas of cooperation in line with a MoU established in 2014. This year PTF e.V. also started work with the Policy Association for an Open Society (PASOS), a Prague-based CSO, under a EU awarded contract for a „Partners in Empowerment“ (PiE) program. The objective of PiE is to support CSOs in Belarus, Moldova, Ukraine, Armenia and Azerbaijan in the areas of public procurement and budget monitoring. PTF e.V. is in advanced talks with some other potential partners and funding institutions. Thus, we are confident that we can foster the PTF agenda even more in 2016.

I hope you will enjoy reading of our 2015 Annual Report.



Franz Kaps
PTF e.V. Chairman



Message from the PTF President in Washington DC

PTF e.V., the Partnership for Transparency Fund's European affiliate, continues to go from strength to strength and we are proud to be their partner.

Throughout 2015 we continued to build mutual support systems and pursue a number of exciting initiatives together. PTF e.V.'s first project "Partners in Empowerment," which is being implemented together with the Partnership for an Open Society (PASOS), is proceeding well, and we in PTF have been happy to extend support and advice.

We are also working together to design, and secure financing, for a major expansion of the "Barry Metzger Rule of Law Initiative" which is being implemented in the Balkans. PTF e.V. has made a major effort to establish a close relationship with the EU and we are hopeful that this will result in several cooperative endeavors in the near future.

PTF e.V. has also attracted an impressive group of experienced and enthusiastic younger professionals, who compliment PTF's older cadre of volunteers extremely well.

We look forward to an active program of joint ventures with PTF e.V. over the next year and wish them every success for the future.



Richard Stern
President and CEO of PTF



Why we joined PTF e.V.

Emanuela Turco | PTF e.V. Advisor

“I am strongly convinced that the economic success of any initiative, both in a micro and in a macroeconomic context, is strictly linked to the respect of some basic principles such as “fair competition” that rule any financial activity. That is true especially for those activities involving public investments. Corruption is certainly an element capable of undermining any economic processes, so hampering the achievement of a successful conclusion of any endeavor possibly put in place at State level. That is why I consider absolutely important to implement any measure aimed at reducing to the maximum possible extent the plague of corruption. That's why I joined PTF e.V. ”.

Mariette van Beek | PTF e.V. Advisor

“I joined PTF e.V. because I believe that as a group of experienced development professionals, we can support civil society around the world, thereby helping achieve increased transparency and sustainable development”.

Philipp Jahn | PTF e.V. Advisor

“Why I joined PTF e.V.? I used to conduct planning workshops on mitigating corruption risks for GIZ programs and their partners. Every workshop had this one moment, when the participants would leave their professionalism behind. They would talk freely about petty and grand corruption and the impact corruption has on their society and on their own lives. By listening, I learned that corruption is an emotional topic and that fighting corruption is a key factor for the success of change in many countries. By joining PTF, I want to contribute to the anti-corruption agenda as a citizen. I am looking forward to have many actions and discussions with the other members of PTF”.



Our Foundation



PTF e.V. Members at the membership meeting in December 2015

In 2003, the Washington DC based Partnership for Transparency Fund (PTF) decided to support the establishment of an affiliate organization in Europe (PTF e.V.) in order to attract new members, engage in European anti-corruption networks, develop new partnerships and attract grants and other modes of cooperation, including participation in relevant

calls for proposal, from European institutions.

As a result, the Partnership for Transparency Fund “eingetragener Verein” (PTF e.V.) constituted itself in October 2013 in Munich/Germany. It was registered as a not-for-profit association under German corporate law in January 2014 in the Munich registry court.

As a fairly new CSO, PTF e.V. relies for the time being upon the support of its “parent organization”, the Partnership for Transparency Fund (PTF) in Washington DC.

PTF and PTF e.V. understand themselves as pioneering and innovative associations mobilizing expertise and resources to provide advice and join likeminded CSOs in respective proposals for funding in support of programs to help improve governance, increase transparency and reduce corruption in developing as well as emerging countries.



PTF, the Parent Organization

The Partnership for Transparency Fund (PTF) is a Washington DC-based CSO that was founded in 2000. PTF founders included some of the original founders of Transparency International (TI). Besides PTF e.V., additional affiliates are about to be set up in India and Africa.

Over the last 15 years, the Partnership for Transparency Fund was active in 53 countries, and has provided about USD 5.5 million through 250 small grants to projects developed and implemented by more than 160 partner CSOs across the world.

The goal of PTF is to pilot and scale-up innovative approaches and tools that reduce corruption and improve governance as well as contribute to the rule of law. Throughout the project cycle PTF draws lessons from these experiences and shares the resulting knowledge widely to advance a culture of transparency around the world.

Skilled PTF advisors assist in the implementation of PTF supported projects all over the world. A number of these grants have been to countries in Eastern and Southeast Europe.



In 2015, PTF ran five big initiatives:

- Barry Metzger Rule of Law Initiative in the Western Balkans,
- Citizen Action Platform in East Africa (CAP),
- Citizen Action for Results, the Transparency and Accountability (CARTA) in South Asia ,
- Enhancing the Impact of Citizen-Led Transparency Initiatives for Good Governance, and
- Strengthening Citizens Involvement in Mitigating Governance Risks in Local Government Units in East Asia (PTF Asia)

For further information on PTF, see: ptfund.org



Our Impact

Our results in achieving specific outcomes

Our Vision | PTF e.V. envisions societies where citizens succeed in making their government free of corruption.

Our Mission | PTF e.V. aims at advancing innovative citizen-led approaches to improve governance, increase transparency, promote the rule of law and reduce corruption in developing and emerging countries.

Our Approach | Our approach focuses on incubating innovative good governance initiatives of civil society organizations. We validate selected ideas, scale-up successful approaches for wide-spread replication, and disseminate results and lessons learned from our experience. In addition to general program oversight, we support civil society organizations to successfully implement grants, donor funded programs and related technical assistance in the design, implementation and monitoring of respective anti-corruption, rule of law and good governance programs and projects.



Our Input

What resources we put into our activities

Financial input | In 2015, PTF e.V. continued to receive small grants from its PTF parent organization in a total amount of US\$ 12,000. This seed money allowed PTF e.V. to “get into business”, to establish partnerships with like minded CSOs and to prepare itself to respond to respective international calls for proposal.

Human resources input |

Strategical Input | PTF e.V. members advising in which direction PTF e.V. could go (e.g. drafting a strategic plan for PTF e.V. and writing, among other, concept papers for potential projects in Moldova and Ukraine)

Professional Input | PTF e.V. Members provided professional input on operational issues (e.g. organizational development, screening for funding opportunities, drafting of proposals, preparation and start up of the “Partners in Empowerment” Program referred to in the next section) and thematic matters (by contribution to the PTF working groups)

Technical Input | PTF designed and maintained the PTF e.V. sub-website www.ptfund.org/ev and communication plan.



Our First successful Tender and Project

“Partners in Empowerment” - a EU-funded project awarded to PASOS with PTF e.V. and five CSOs from participating countries as partners

PASOS | The Policy Association for an Open Society (PASOS) is a regional platform, open to co-operation and partnership to address key regional and international policy challenges. PASOS actively supports efforts to promote freer societies governed by the rule of law.



Policy Association for an Open Society

For further information on PASOS, see: pasos.org

“Partners in Empowerment” | From 2015-2017, PASOS is leading, with PTF e.V. and five local CSOs as partners, a EU-funded project, entitled, "Partners in Empowerment" (PiE). PiE is a sub-granting (totaling EUR 600,000) and capacity-building project focusing on Armenia, Azerbaijan, Belarus, Moldova, and Ukraine. The objective of the project is to support the development of stronger, interconnected CSOs and CSO leaders in the five countries, and to draw on peer and international expertise to hold governments to account by becoming effective watchdogs engaged in policymaking processes and in leveraging expertise to monitor public service delivery and government decisions.

An organizational capacity assessment (OCA) of local watchdog organizations and national CSOs monitoring policy implementation and budget transparency, including use of EU funding, has been completed. OCAs are supplemented by strategic institutional development mentoring, focusing on project evaluation, leadership development, sustainable strategic and financial development. This is expected to result in clearer organizational strategies for the CSOs concerned, and in high quality project proposals for the sub-granting program. So far, a shortlist is being finalized from 119 applications for sub-grants.



Our Outcomes

The changes and benefits that result from PTF e.V.'s activities

Increased visibility | PTF e.V. has begun to establish its reputation as credible CSO engaged in anti-corruption, good governance and rule of law programs in the wider EU region.

The PTF e.V. chairperson and advisors traveled to several conferences and meetings, including Brussels (meetings with various representatives of EU agencies), Tirana (PASOS annual meeting), Chisinau/Moldova for the launch of the Partners in Empowerment Program, Budapest for a discussion of the EU supported Integrity Pact (IP) program to present PTF e.V. as a newly established European anti-corruption CSO. PTF e.V. has also established new links with likeminded CSO networks.

Integrated in an outstanding anticorruption network | PTF and PTF e.V. are increasingly presenting themselves as being part of the “one PTF family” which is guided by the PTF/PTF e.V. mission statement and the Memorandum of Understanding between them, which, in turn, emphasizes the will to proactively seeking further opportunities for future cooperation. PTF e.V./PTF and TI-S concluded also a Memorandum of Understanding; all sides consider themselves as complementary actors in the anti-corruption field.

Track Record | The “Partners in Empowerment” program is laying the foundation for establishing PTF e.V.'s track record that will be expanded in the near future.



Our Output

What we achieved with our activities

Reaching out for grants | In 2015, PTF e.V. collaborated with the following organizations in order to seek funding for mutually supported programs: - PASOS, the lead organization in an EU supported program for Eastern Partnership Civil Society Facility: Won EU tender jointly for "Partners in Empowerment" and achieved first steps within the project in 2015 - Various GIZ-supported programs in the Western Balkans: contacts established and a first short-term contract was signed - Various funding organizations that we shared and discussed concept papers with

Networking/liaising with anti-corruption actors | We successfully established relations with the following organizations:

- Transparency International Secretariat (TI-S)
- Policy Organization for an Open Society (PASOS)
- European Citizen Action Service (ECAS)
- Eastern Partnership Civil Society Forum (EAP-CSF)
- Different GIZ Offices
- The Serbian Lawyers' Committee for Human Rights (YUCOM)

Recruiting new members | We pro-actively recruited several outstanding anticorruption experts for PTF e.V., resulting in our growth from 20 to 30 members by the end of 2015.



An active and productive base of members | The members of PTF e.V. have jointly worked on current projects and developed new ones. Two membership meetings took place in Berlin in March and December 2015 that also aimed at consolidating current goals and planning projects for 2016. Impressions of the meeting in March 2015 including extended interviews can be found [here](#).



Our Plan for 2016

Subcontracts and grants won | PTF e.V., with the support of PTF, will aim to win (sub)-contracts and grants from the EU and other European donors, and actively participate in anti-corruption projects.

The structure for a possible [Procurement Project in the Ukraine](#) has been drafted and is currently being discussed with potential donors to be put in practice in 2016.

Membership qualified and increased | PTF e.V. aims to maintain a stable core team while recruiting new and diverse members with skills relevant to our agenda.

Networks deepened | PTF e.V. will continue to promote cooperation with existing anti-corruption network partners and identify further such partnerships.

One upcoming program in 2016 is the “[Barry Metzger Rule of Law](#)” program that aims at establishing a network of like-minded NGOs in the Balkans and is supported by PTF Washington. The key focus of the program will be the Western Balkan countries’ compliance with chapter 23 – Judiciary and Fundamental Rights – during the EU accession negotiations.

CSOs supported | PTF e.V. will aim to continue building up the capacities and competences of partner CSOs of future partner CSOs as it does within the “Partners in Empowerment” Program.

Current Projects promoted | PTF e.V. aims to build upon the experience gained under the “Partners in Empowerment” project to further improve its performance in future programs under consideration.



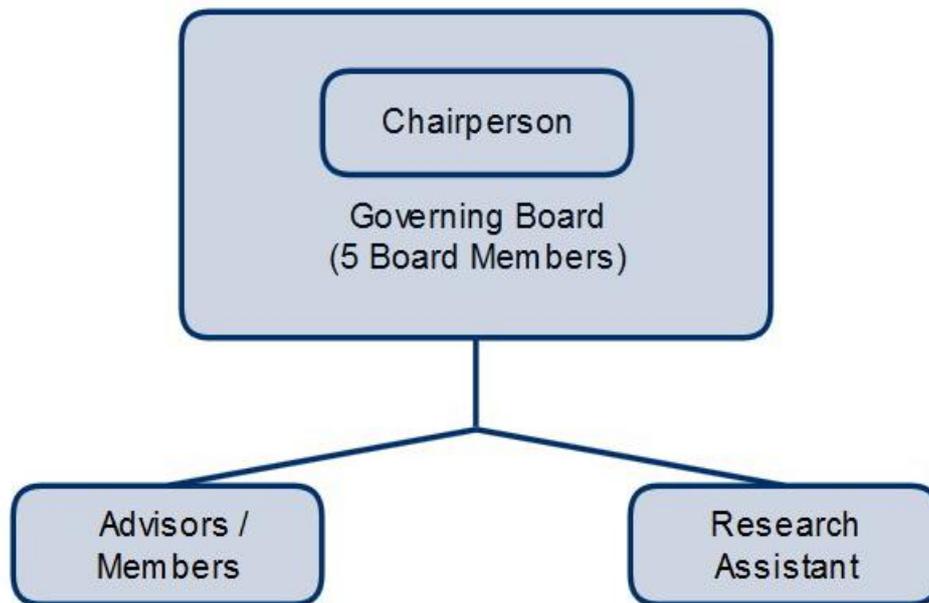
Our Members

Thirty Experts from different Backgrounds





Our organizational Structure



Governing Structure | PTF e.V. has a volunteer board of five board members under the chairmanship of Franz Kaps, a former World Bank official residing in Munich.

Membership | By the end of 2015, it had a membership of 30, mixing former World Bank staff, Scandinavian diplomats, CSO representatives and younger members with substantial background in governance, compliance and anti-corruption matters around the world.



Our Core Team

Franz Kaps | PTF e.V. Chairperson

Franz retired from the World Bank in May 2007 as a Special Representative for Southeast Europe, co-manager of Joint European Commission-World Bank Office for Southeast Europe, and the Europe and Central Asia Region Senior Partnership Adviser.

Prior his posts in European divisions, Franz held several senior assignments in the World Bank's Africa Region, including serving as Resident Representative in Dakar Senegal, as well as operational assignments in Central America, Egypt and Yugoslavia.

Lars Jeurling | PTF e.V. Deputy Chairperson

Lars Jeurling has been an adviser to the Partnership for Transparency Fund since 2004 working mostly on Eastern Europe and the Former Soviet Union. Lars worked for nearly 30 years at the World Bank in different positions and in different parts of the world, such as Latin America Indonesia and, since 1991, in the former Soviet Union. After retiring from the World Bank in year 2000 Lars has been a private equity investor and entrepreneur and established a small fund in 2014 to match individual contributions to PTF. Lars holds an MBA from the Stockholm School of Economics and a MA in Development Economics from the Fletcher School of Law and Economics.

Matthias Einmahl | PTF e.V. Treasurer

Matthias Einmahl is currently teaching as a Professor for public procurement and civil law at the University for Public Administration for the state of North Rhine-Westphalia in Cologne. Before that he worked as a Judge at the Court for the city and county of Halle/Saale.

Matthias studied Law at the Universities of Freiburg and Konstanz in Germany and holds a PhD awarded from the University of Hamburg.



Hady Fink | PTF e.V. Advisor

Hady is a German national, currently working as Manager of Research and Programs for the Anti-Corruption Authority of the State of Qatar. He has over 10 years of experience in corruption related matters. Hady holds a PhD (Dr. phil.) in Intercultural Studies and Masters degrees in Economics (Diplom-Volkswirt) and Intercultural Studies (Diplom-Kulturwirt), all from University of Passau.

Mariette VanBeek | PTF e.V. Advisor

After law studies in the Netherlands, England and the USA, Mariette van Beek has worked as a lawyer, later as a procurement advisor in numerous countries. She has over thirty years of experience in laws of countries in transition, program design, procurement systems operation, project implementation and contract audit. Mariette joined PTF e.V. in 2014 as a procurement specialist.

Marie-Carin von Gumpfenberg | PTF e.V. Advisor

Marie-Carin is a Council of Europe expert on elections. She holds a PhD in political sciences and is a certified compliance officer. For 10 years she worked for the Organization for Security and Co-operation in Europe (OSCE) with duty stations in Kyrgyzstan, Uzbekistan, Georgia and the Former Yugoslav Republic of Macedonia. Marie-Carin has published several books on Central Asia and the Caucasus.

Martin Müller | PTF e.V. Research Assistant

Martin joined PTF e.V. in 2014 as a research assistant after first experiences in development cooperation in Bangladesh. He is currently taking a master in Global Development at the University of Copenhagen where he is also active in the Danish NGO Afrika Kontakt.



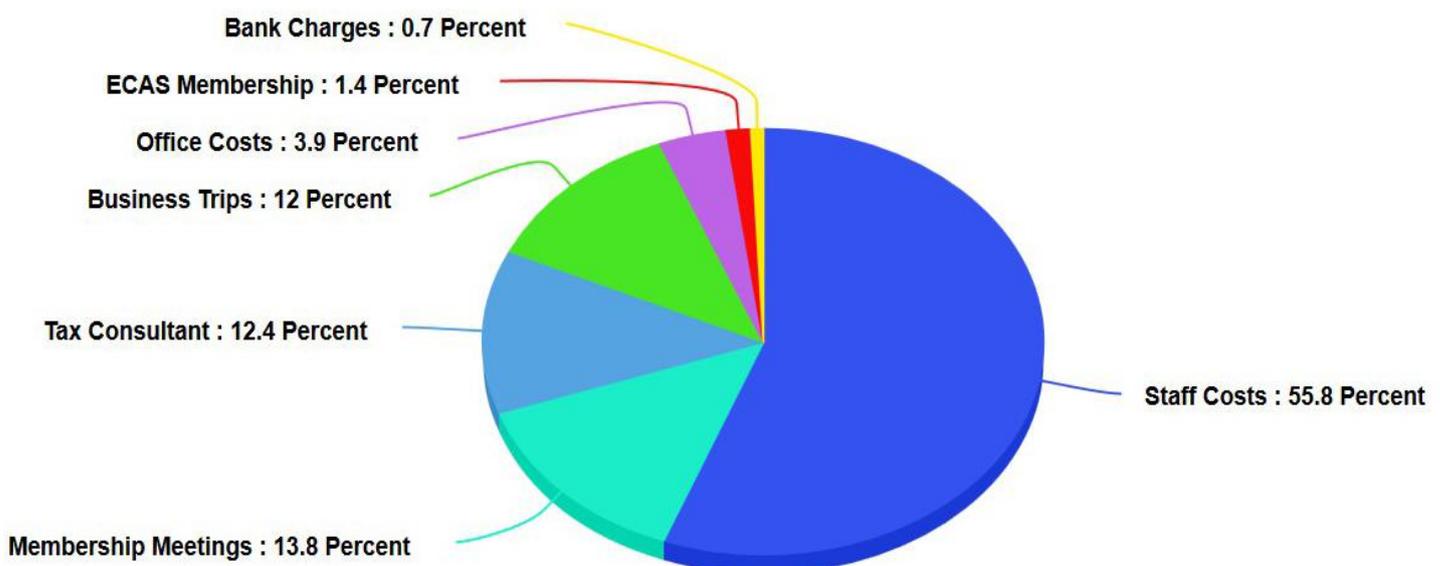
Our Finances

Revenues | In 2015, PFT e.V. received revenues from PTF transfers and from the “Partners in Empowerment” project; no fees were charged to the members; no grants were pledged to PTF e.V. .

In 2017, PTF e.V. expects grants to comprise most of the revenues and through fees charged from PTF e.V. members.

Revenues	Expenditures	Balance
17508.72 €	8845.11 €	8663.61 €

Expenditures | The below breakdown clearly shows that PTF e.V. invested in research, travel and networking with the aim of „getting into business“. For the year 2016, this ratio is expected to change with most of the money spent for project activities.





Finances of the “Partners in Empowerment” Program | In 2015 PTF e.V. separately received revenues within the scope of the “Partners in Empowerment” Program. Of those revenues 45.3% were already spent for a consultancy contract with Marie-Carin von Gumpfenberg, a tax consultant and for bank charges.

Revenues	Expenditures	Balance
5801.00 €	2631.04 €	3169,96 €

Finances 2016 | The balance at the end of 2015 is transferred to the balance of 2016, mainly to cover travel costs, the research assistant and further project costs.



Our Partnerships



**TRANSPARENCY
INTERNATIONAL**

Transparency International-Secretariat (TI-S) | In 2014, PTF and PTF e.V. signed a Memorandum of Understanding with Transparency International-Secretariat outlining the areas of collaboration (technical assistance for projects, project evaluations, policy advice and the joint preparation of grant proposals to development partners).



Policy Association for an Open Society

Policy Association for an Open Society (PASOS) | In 2014, PTF e.V. agreed on applying jointly for tenders and started their first project together in 2015; PASOS aims to promote and protect democracy, human rights and open society values – including the rule of law, good governance, and economic and social development – by supporting civil society organisations that individually and jointly foster public participation in public policy issues.



Support Us

Donate your time | Are you interested in contributing to PTF e.V. as a member? Are you looking for ways how to contribute to transparency, improved governance and reduced corruption? Do you want to join an innovate, growing, member-driven organization? Then donate your time to PTF e.V.! We are looking for support in one of the following areas:

- Anti-corruption
- Networking
- Fund raising activities
- Project design, implementation and monitoring
- Project/program evaluation

Donate funding | Your contribution for PTF e.V. can be a first step of your commitment against corruption. You may make a single or a regular donation or by topics/countries. Donations can be set off against tax liability.

Any questions on PTF e.V.'s activities? | Feel free contact our chairperson Franz Kaps at +49-171-1403184 or fkaps@ptfund.org



Our Contact Details

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